

# Introducer panel application form

This application form is for Introducers to express an interest in partnering with Recognise. The 'Introducer Terms of Business' should be read in conjunction with completing this form. We will review your application and inform you by email if you have been accepted onto the panel.

## Company details

Name of firm (please confirm legal and trading names if different):

Legal entity type (e.g Limited Company, Partnership, LLP):

FCA Number:

ICO Number:

Are you a network / mortgage club?  Yes  No Name of network/mortgage club:

If you are an Appointed Representative of a directly authorised network / mortgage club please ensure that this firm has been onboarded to the Recognise Introducer Panel. You do not need to complete a separate application form

PI cover held  Yes  No *Please note evidence of PI cover held, in the form of the PI Schedule, is to be provided as part of this application.*

Name of ultimate beneficial owners and shareholding split (where applicable):

Number of Partners / Directors in the practice:

Date of incorporation and / or date practice established: / /

Company Registration Number (where applicable):

Current business trading address (including postcode):

Postcode:

Registered address (if different from trading address):

Postcode:

Number of offices and their locations:

Geographical areas you cover (e.g. North-West, Midlands):

No. of employees:

Specialisms / sectors covered:

Main contact name:

Main contact telephone number:

Main contact email address\*:

Website address:

Detail of memberships of professional organisations (e.g NACFB, FLA) and corresponding membership number:

*\* We prefer to have company email addresses and do not accept Gmail, Yahoo, Hotmail etc. addresses for security reasons.*

Recognise Bank Limited is a company registered in England and Wales, registration number 10603119 with its registered office at 2nd Floor, Augustine House, London, EC2N 2HA. Recognise Bank Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Our Financial Services Register number is 849404. You can check this on the Financial Services Register by visiting the FCA's website [www.fca.org.uk/register](http://www.fca.org.uk/register)

Loan facilities offered by Recognise Bank Limited are non-regulated contracts under The Financial Services and Markets (Regulated Activities) Order 2001 and the Financial Services and Markets Mortgage Credit Directive Order 2015. **Your property is at risk if you fail to make payments on a mortgage contract register.**

## Company bank details

Bank name and address:

Account name:

Sort code: - -

Account number:

*Please note. We will make any Payments due to You to the above bank account or such other bank account that you subsequently advise to us in writing*

## Director / Partner details

**Please complete the below section for a maximum of 4 directors / partners (Additional sheets have been provided as a separate document for Director / Partner 3 and 4 to provide their information). To clarify, where the business has 4 or less, we require this information for all Directors / Partners. Where there are more than 4, we require the information for the 4 main Directors / Partners i.e. the 4 Key Decision Makers.**

### Director / Partner 1

### Director / Partner 2

Full name:

Full name:

Date of birth: / /

Date of birth: / /

Is this director also a shareholder (if applicable)?  Yes  No

Is this director also a shareholder (if applicable)?  Yes  No

If Yes, shareholding percentage held:

If Yes, shareholding percentage held:

Role (e.g Managing Director, Finance Director):

Role (e.g Managing Director, Finance Director):

Direct dial number:

Direct dial number:

Mobile phone number:

Mobile phone number:

Current home address:

Current home address:

Postcode:

Postcode:

#### 1. Has this Director or Partner:

- a) Been the subject of any investigation or regulatory or enforcement action or proceedings involving actual or alleged financial crime?  Yes  No
- b) Been disqualified from acting as a Company Director?  Yes  No
- c) Been a Director of a company which was wound up, dissolved, liquidated or put in administration?  Yes  No
2. Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)?  Yes  No

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- c) Been a Director of a company which was wound up, dissolved, liquidated or put in administration?  Yes  No
2. Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)?  Yes  No

If you've answered 'Yes' to any of the above, please provide details  
(please use separate sheet where applicable):

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### Additional business information required

1. PI Cover details - policy and current valid schedule
2. For all non FCA Regulated Introducers - please provide a copy of your Data Protection Statement
3. Please complete the attached broker contact schedule for all users requiring access to the Recognise Broker Portal
4. Please note, we may require further additional information to assess your application. This could include, but is not limited to, Financial Accounts, Management Accounts and Bank Statements

## Declaration

I / we will inform Recognise of any changes in the information provided and / or our circumstances which occur either before or after appointment to the panel.

Data retention: We will keep your personal data for seven years from end of last financial year of our business relationship with you. Fraud prevention agencies can hold your personal data for different periods of time. If you wish to exercise any of your rights or request a copy of the information we hold, please contact us by letter or email using the addresses set out at the bottom of our Privacy Policy [www.recognisebank.co.uk/privacy-policy/](http://www.recognisebank.co.uk/privacy-policy/)

I / we understand and accept that by signing this declaration we attest that the information provided is correct in every respect and that Recognise will rely on the truth and accuracy of the information. I / we are aware that it is an offence to knowingly provide false misleading or inaccurate information when making an application and in that event I / we could face criminal prosecution and/or civil action for recovery of any losses incurred by Recognise Financial Services Limited and / or its affiliated companies.

Please tick this box to confirm that you have read and you agree to the Introducer 'Terms of Business'.

Please tick this box if you would like to receive information about products and services, including other marketing communications from Recognise.

#### Signed (first Applicant Director / Sole Trader / Partner)

Name:

Signature:

Date:        /        /

Position:

#### Signed (second Applicant Director / Sole Trader / Partner)

Name:

Signature:

Date:        /        /

Position: